

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Andreas Eipper et al.

Application No.: 10/587,998

Confirmation No.: 4347

Filed: August 1, 2006

Art Unit: 1712

For: FLUID POLYESTER MOULDING MASSES

Examiner: Lee, Doris L.

**TERMINAL DISCLAIMER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The undersigned is attorney of record for the assignee of the above-identified application. BASF SE\* certifies that it is the owner of 100% interest in the above identified patent application, as evidenced by the attached assignment, said assignment having been recorded in the U.S. Patent and Trademark Office on September 20, 2006 at Reel 018283, Frame(s) 0655 and the attached name change being recorded concurrently herewith.

The owner hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the above-identified application, which would extend beyond the expiration date of the full statutory term of any patent granted on any one or more of pending **reference** US Patent Applications Serial Numbers 10/587,997, 11/576,646, 11/577,009, 11/577,590, 11/632,711, 11/659,506, 11/659,625, 11/813,833, 11/996,489, and 11/815,238, and as such term is defined in defined in 35 U.S.C. 154 to 156 and 173, and as the term as term of any patent granted on any of said **reference** US Patent Applications may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending **reference** US Patent Applications. The owner hereby agrees that any patent that is granted on the above-identified application shall be enforceable only for and during such period that it and any patent granted on

any of the **reference** US Patent Applications are commonly owned. This agreement runs with any patent granted on the above-identified application and is binding upon the grantee, its successors or assignees.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of any patent granted on any one of said **reference** applications, "as the term of any patent granted on said **reference** application may be shortened by any terminal disclaimer filed prior to the grant of any patent on any one of the pending **reference** applications," in the event that: any such patent: granted on any one or more of the pending **reference** applications: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant.

Please charge our Credit Card in the amount of \$140.00 covering the fee set forth in 37 CFR 1.20(d). Credit Card Payment Form SB-2038, with a signature from an authorized cardholder, is enclosed.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 03-2775, under Order No. 12810-00333-US.

Dated: July 8, 2010

Respectfully submitted,

By: Burton A. Amernick/

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 21, 2006

PTAS

\*700287966A\*

CONNOLLY BOVE LODGE & HUTZ LLP  
BURTON A. AMERNICK  
1990 M STREET, N.W., SUITE 800  
WASHINGTON, D.C. 20036

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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

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DOCKET NUMBER: 12810-00333-US1

ASSIGNOR:

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DOC DATE: 02/21/2005

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DOC DATE: 02/21/2005

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DOC DATE: 02/21/2005

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DOC DATE: 02/21/2005

018283/0655 PAGE 2

ASSIGNOR:

GROBER, FREDDY

DOC DATE: 02/21/2005

ASSIGNEE:

BASF AKTIENGESELLSCHAFT  
CARL-BOSCH-STRASSE  
PATENTS, TRADEMARKS AND LICENSES  
LUDWIGSHAFEN, GERMANY D-67056

SERIAL NUMBER: 10587998

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: FLUID POLYESTER MOULDING MASSES

THERESA FREDERICK, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

09/20/2006  
700287966Form PTO-1555 (Rev. 06/05)  
CMB No. CMB-1-0227 (Rev. 02/02/05)U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET

## PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached document(s) or the new address(es) below.

## 1. Name of conveying party(ies):

Andreas Eipper (2/21/2005),  
Bernd Bruchmann (2/21/2005),  
Dietrich Scherzer (2/21/2005),Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

## 2. Name and address of receiving party(ies)

Name: BASF Aktiengesellschaft

Internal Address:

Street Address:

Patents, Trademarks and Licenses  
Carl-Boch-Strasse  
GVX-0006

City: Ludwigshafen

State:

Country: Germany Zip: D-67056

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application or patent number(s):

A. Patent Application No.(s)

10/587,998

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: Burton A. Amernick  
CONNOLLY BOVE LODGE & HUTZ LLP  
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Fax Number:

Email Address: BAmernick@cbllh.com

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 1.21(h) &amp; 1.41) \$ 40.00

☐ Authorized to be charged by credit card☒ Authorized to be charged to deposit account☐ Enclosed☐ None required (government interest not affecting fee)

## 8. Payment Information

a. Credit Card Last 4 Numbers Expiration Date

b. Deposit Account Number 03-2775

Authorized User Name Ashley J. Pezzner

## 9. Signature:

Signature

September 20, 2006

Date

Dorteid K. Drummond, Ph.D. - 62,654

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Form PTO-1595	
RECORDATION FORM COVER SHEET (continued)	
Additional Conveying Party(ies)/Execution Date(s) (1. Continued): Jean-Francois Stumbe (2/21/2005), Carsten Weiß (2/21/2005) and Freddy Gruber (2/21/05)	
Additional Assignees (2. Continued):	
Assignee Name: _____	
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Assignee Name: _____	
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Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Additional Applications and/or Patents (4. Continued):	
Additional Patent Application Numbers 4A. Continued:	Additional Patent Numbers 4B. Continued:
Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	

## PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME
CONVEYING PARTY DATA	
Name	Execution Date
BASF Aktiengesellschaft	01/14/2008
RECEIVING PARTY DATA	
Name:	BASF SE
Street Address:	Patent, Trademarks and Licenses
Internal Address:	Carl-Bosch-Strasse, GVX-C006
City:	Ludwigshafen
State/Country:	GERMANY
Postal Code:	D-67056
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	10587998
CORRESPONDENCE DATA	
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ATTORNEY DOCKET NUMBER:	12810-00333-US1
NAME OF SUBMITTER:	Burton A. Amernick
Signature:	/Burton A. Amernick/
Date:	07/08/2010
Total Attachments: 2 source=07-08-2010_NameChange_12810-00333-US1#page1.tif source=07-08-2010_NameChange_12810-00333-US1#page2.tif	
<b>RECEIPT INFORMATION</b>  EPAS ID: PAT1250620 Receipt Date: 07/08/2010 Fee Amount: \$40	

Beglaubigte Abschrift  
Bescheinigung gemäß § 3 BNotO  
über die Rechtsnachfolge der  
BASF Aktiengesellschaft

1. **BASF Aktiengesellschaft**  
Die BASF Aktiengesellschaft mit dem Sitz in Ludwigshafen am Rhein, Bundesrepublik Deutschland, war in der Rechtsform der Aktiengesellschaft deutschen Rechts bis zum 14.01.2008 im Handelsregister des Amtsgerichts -Registergericht- Ludwigshafen am Rhein, Bundesrepublik Deutschland unter HRB 3000 eingetragen.
2. **BASF SE**  
Die BASF Aktiengesellschaft wurde mit Beschluss der Hauptversammlung vom 26.04.2007 im Wege des Formwechsels nach Maßgabe des Umwandlungsplanes vom 27.02.2007 in die BASF SE mit dem Sitz in Ludwigshafen am Rhein, Bundesrepublik Deutschland umgewandelt.  
Die BASF SE mit dem Sitz in Ludwigshafen am Rhein, Bundesrepublik Deutschland ist seit dem 14.01.2008 in der Rechtsform der Societas Europaea im Handelsregister des Amtsgerichts -Registergericht- Ludwigshafen am Rhein, Bundesrepublik Deutschland unter HRB 6000 eingetragen.

Die BASF SE ist deshalb Rechtsnachfolgerin der BASF Aktiengesellschaft.

Ausweislich des Artikel 37 Abs. 2 der VERORDNUNG (EG) Nr. 2157/2001 DES RATES vom 08. Oktober 2001 über das Statut der Europäischen Gesellschaft (SE) hatte diese Umwandlung weder die Auflösung der Gesellschaft noch die Gründung einer neuen juristischen Person zur Folge.

3. **Nachweis**  
Die vorbeschriebene Rechtsnachfolge stelle ich, Notar, hiermit fest aufgrund meiner heutigen Einsicht in die zwei genannten Handelsregister.

Ludwigshafen am Rhein (Bundesrepublik Deutschland), den 14. Januar 2008



certified copy

Certificate  
in conformity with Sec. 21 of the Federal German  
Ordinance for Notaries (BNotO)  
concerning the legal succession of  
BASF Aktiengesellschaft

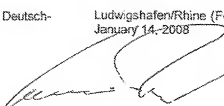
1. **BASF Aktiengesellschaft**  
BASF Aktiengesellschaft with registered office in Ludwigshafen am Rhein, Federal Republic of Germany, was registered in the form of a stock corporation [Aktiengesellschaft] in the commercial register of the district court - court of registry - in Ludwigshafen am Rhein, Federal Republic of Germany, under the entry number HRB 3000 until January 14, 2008.
2. **BASF SE**  
As resolved by the Annual Meeting of Shareholders on April 26, 2007, BASF Aktiengesellschaft has been converted by way of change of legal form pursuant to the Conversion Plan of February 27, 2007 into BASF SE with registered office in Ludwigshafen am Rhein, Federal Republic of Germany.  
BASF SE with registered office in Ludwigshafen am Rhein, Federal Republic of Germany, is registered as from January 14, 2008 in the legal form of a Societas Europaea in the commercial register of the district court - court of registry - of Ludwigshafen am Rhein, Federal Republic of Germany, under the entry number HRB 6000.

Therefore, BASF SE is the legal successor of BASF Aktiengesellschaft.

As set forth in Art. 37 para. 2 of Council Regulation (EC) No. 2157/2001 of October 8, 2001 on the Statute for a European Company (SE) this conversion neither resulted in the dissolution of the company nor in the establishment of a new legal person.

3. **Confirmation**  
Based on today's inspection of the commercial register, I, Notary public, certify the aforementioned legal succession.

Ludwigshafen/Rhine (Federal Republic of Germany),  
January 14, 2008

  
Notar/Notary Public

Die Übereinstimmung vor-  
stehender Abschrift mit  
der Urschrift wird hiermit  
beglaubigt.

Ludwigshafen am Rhein  
(Bundesrepublik Deutschland)  
den, 14. Januar 2008

I hereby certify the copy  
reproduced above to be a  
true and correct copy of  
the original record.

Ludwigshafen/Rhine  
(Federal Republic of Germany),  
January 14, 2008



Notar/Notary Public

Gebührenwert: 2.000,--EUR

Value of fee: 2.000,--EUR